August 27, 2002

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### **VIA FIRST CLASS MAIL**

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231



#### **Certificate of Mailing**

I hereby certify that this correspondence is being deposited on <u>August 27, 2002</u> with the U.S. Postal Service as under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Name: Pamela Shultz

Signature: <u>Famela</u> (!S

Re:

Patent Application for:

"Method and System for Data Packet Filtering Using Tree-Like Hierarchy"

Serial No. 10/028,473

Attorney Docket No. <u>P12692-US2/50001.2070</u>

Dear Sir or Madam:

Enclosed for filing please find the following items relating to the above-identified application:

- (1) Response to Notice to File Missing Parts of Application Filing Date Granted (3 pages)
- (2) Part 2 Notice to File Missing Parts of Nonprovisional Application (1 page)
- (3) Part 2 Notice of Incomplete Reply (1 page)
- (4) Substitute Drawings (4 pages)

If you have any questions or comments concerning this matter, please feel free to contact the undersigned at 972-583-8656.

Telephone: 972-583-0000

Sincerely,

Sidney L. Weatherford

Intellectual Property Counsel

SLW/ps





## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

A MORNEY DOCKET NUMBER

10/028,473

12/28/2001

Peter R Denz

50001 2070

Arthur I. Navarro Godwin Gruber, P.C.

Suite 655

801 E. Campbell Rd. Richardson, TX 75081

CONFIRMATION NO. 1920

FORMALITIES LETTER

\*OC000000008620408\*

Date Mailed: 08/14/2002

# NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 07/28/2002 to the Notice to File Missing Parts (Notice) mailed 01/28/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because.
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch); a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#8

# Response To Notice To File Missing Parts Of Application Docket No. Filing Date Granted (PTO-1533)(Large Entity) P12692/50001.2070 In Re Application Of: Denz et al Serial No. Filing Date Examiner Group Art Unit 10/028,473 12/28/2001 Method and System for Data Packet Filtering Using Tree-Like Hierarchy AUG 2 8 2002 TO THE ASSISTANT COMMISSIONER FOR PATENTS: Box Missing Parts This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on 01/28/02 & 08/14/02 Date Enclosed herewith for filing are the following: A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED) ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date. ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date. A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. Other (list): Substitute drawings 08/3**0**/2002 CdHAU1 00000062 501379 10028473 02 F**C:**117 520.00 CH

## Response To Notice To File Missing Parts Of Application Docket No. Filing Date Granted (PTO-1533)(Large Entity) P12692/50001.2070 In Re Application Of: Denz et al Serial No. Filing Date Examiner Group Art Unit 10/028,473 12/28/2001 Invention: Method and System for Data Packet Filtering Using Tree-Like Hierarchy TO THE ASSISTANT COMMISSIONER FOR PATENTS: **Box Missing Parts** Completion of application fees as calculated below: ☐ Utility application filing fee ☐ Design application filing fee ☐ Total number of independent claims = ☐ Total number of claims = ☐ Multiple dependent claims Surcharge for late payment of filing fee and/or late filing of original declaration or oath Petition and fee for filing by other than all the inventors or a person not the inventor ☐ Fee for processing an application filed with a non-English language specification ☑ Fee for processing and retention of application \$130.00 Total completion of application fees \$130.00 This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor. ☐ Four months ☐ Two months ☐ Three months ☐ Five months Additional from: 07/28/02 until: 08/28/02 Date Date Total time extension fees \$520.00 \$650.00 Total fees due

## Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Large Entity)

Docket No. P12692/50001.2070

In Re Application Of: Denz et al Serial No. Filing Date Examiner Group Art Unit 10/028,473 12/28/2001 Invention: Method and System for Data Packet Filtering Using Tree-Like Hierarchy TO THE ASSISTANT COMMISSIONER FOR PATENTS: **Box Missing Parts** 

The fee of

\$650.00

is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 501379 A duplicate copy of this sheet is enclosed.
- ☑ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 501379 A duplicate copy of this sheet is enclosed.

Mey L. Weatherfred Signature

Dated: August 27, 2002

Sidney L. Weatherford

Reg. No. 45,602

**Intellectual Property Counsel** 

Ericsson Inc.

6300 Legacy Drive, MS/EVW2C2

Plano, Texas 75024

Direct Line: 972-583-8656 Fax Line: 972-583-7864

I certify that this document and fee is being deposited on August 27, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Pamela C. Shultz

Typed or Printed Name of Person Mailing Correspondence

CC: